



Regents Policy Chapter 12, Research
Regents Policy RP 12.202, Principal Investigator
Effective Date:
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August 2019

I. Purpose

To set forth policy regarding principal investigators for externally funded contracts and grants.

II. Definitions

No policy specific or unique definitions apply.

III. Policy

A. Only ~~university~~ Board appointees may serve as principal investigator for an externally funded contract or grant. In the context of this policy, adjunct faculty, research affiliates, and emeriti faculty in non-compensated University appointments may serve as principal investigators.

B. The privilege to serve as principal investigator is conditioned on the following criteria: the proposed program or activity is desirable and compatible with the mission of the respective academic or research unit; those university officials responsible for committing the use of facilities and other resources of the University agree to accept the commitment involved; the investigator has accepted the terms and conditions of the contract or grant; and the investigator as disclosed all potential conflicts of interest.

A.C. Changes in principal investigator shall be done in accordance with awarding agency policies and procedures such as the National Institutes of Health Grants Policy Statement or National Science Foundation Award and Administration Guide.

IV. Delegation of Authority

There is no policy specific delegation of authority.

V. Contact Information

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References

- A. <http://www.hawaii.edu/offices/bor/>
- B. [National Institutes of Health Grants Policy Statement, 8.1 Changes in Project and Budget](#)
- C. [National Science Foundation Award and Administration Guide, Chapter II – Grant Administration](#)
- D. [EP 12.214, Conflicts of Interest and Commitment](#)
- E. [AP 5.504, Procedures for Disclosing and Addressing Conflicts of Interest and Commitment](#)
- F. [AP 8.025, Fiscal Responsibilities Within the University](#)
- G. [AP 8.910, Procedures for the Preparation and Submission of Proposals to External Sponsors and the Review/Approval of Sponsored Agreements](#)
- H. [AP 8.926, Administrative and Financial Requirements for Extramurally Financed Research and Training Programs/Activities of the University](#)
- ~~B.I.~~ [AP 8.956, Financial Conflicts of Interest \(FCOI\) for Public Health Services \(PHS\) Grants, Cooperative Agreements and Contracts](#)

VII. Exhibits and Appendices

No Exhibits and Appendices found

Approved:

Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date